

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 2862
Akira NISHIYAMA et al. : Docket No. 2001_1748A
Serial No. 09/990,389 : Group Art Unit 1623
Filed November 23, 2001 :
PHENOXYPROPYLAMINE COMPOUNDS

DUPLICATE
COPY

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, or

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

b. ☒ a foreign patent office search report (in the English language) of record,

c. ☐ the concise explanation contained in the specification of the present application at page,

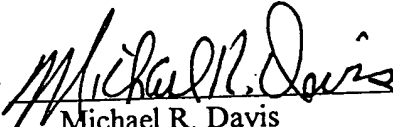
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

The JP '175 reference corresponds to the EP '133 reference; the JP '877 reference corresponds to the WO '249 reference; the JP '270 reference corresponds to the WO '842 reference; the JP '183 reference corresponds to the US '439 reference; the JP '872 reference corresponds to the EP '331 reference; the JP '361 reference corresponds to the WO '152 reference; the JP '827 reference corresponds to the US '765 reference; the JP '880 reference corresponds to the US '793 reference; the JP '228 reference corresponds to the US '431 reference; the JP '307 and JP '610 references correspond to DE 2,702,919 for which an English abstract is submitted herewith; the JP '538 reference corresponds to US '760 reference.

5. ☒ A foreign patent office search report (International Search Report) citing one or more of the references of record.

Respectfully submitted,

Akira NISHIYAMA et al.

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February 25, 2002

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: February 25, 2002

ATTY DOCKET NO.
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Akira NISHIYAMA et al.FILING DATE
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,436,337	7/1995	Abraham et al.			
	AB	4,861,897	8/1989	Press et al.			
	AC	3,816,131	6/1974	Kampfer et al.			
	AD	4,670,439	6/1987	Witte et al.			
	AE	4,191,765	3/1980	Fritsch et al.			
	AF	3,929,793	12/1975	Popelak et al.			
	AG	5,500,431	3/1996	Audia et al.			
	AH	3,810,760	5/1974	Kampfer et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AI	7-17952	1/1995	JP				
	AJ	11-158175	6/1999	JP				
	AK	0 905 133	3/1999	EP				
	AL	9-136877	5/1997	JP				
	AM	97/00249	1/1997	WO				
	AN	8-508270	9/1993	JP				
	AO	94/22842	10/1994	WO				
	AP	92/18089	10/1992	WO				
	AQ	61-218582	9/1986	JP				
	AR	61-43183	3/1986	JP				
	AS	60-202872	10/1985	JP				
	AT	0 156 331	10/1985	EP				

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	BA	80/00152	2/1980	WO				
	BB	53-12827	2/1978	JP				
	BC	50-41880	4/1975	JP				
	BD	8-509228	10/1996	JP				
	BE	61-057572	3/1986	JP				
	BF	95/24200	9/1995	WO				
	BG	58-121214	7/1983	JP				
	BH	55-100361	7/1980	JP				
	BI	52-90307	7/1977	JP				
	BJ	52-92610	8/1977	JP				
	BK	47-18538	9/1972	JP				
	BL	99/55672	11/1999	WO				
	BM	2000-86603	3/2000	JP				
	BN	00/37464	6/2000	WO				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

BO	Zawadowski, T., et al. "SYNTEZA NOWYCH, AMINOALKANOLOWYCH POCHODNYCH BENZOFURANU O SPODZIEWANYM DZIAŁANIU β -ADRENOLITYCZNYM", Acta Poloniae Pharmaceutica, vol. 46, no 3 (1989), pages 201-208; with chemical abstract thereof.
BP	Besenfelder, E. "High Performance Liquid Chromatographic Determination of Picumast and two Active Metabolites in Plasma using On-line Sample Preparation", Biomedical Chromatography, vol. 5 (1997), pages 32-37; with chemical abstract thereof.
BQ	Covello, M., et al. "Nuovi iodorganici di sintesi Iodobenzalidantoine e iodobenzaltioidantoine", Rend. Accad. Sci. Fis. Mat., vol. 35 (1968), pages 156-164; with chemical abstract thereof.
BR	Meltzer, R.I., et al. "Reaction of 4-Hydroxy-3,5-diiodophenylpyruvic Acid with 3,5-Diiodotyrosine", J. Org. Chem., vol 26 (1961), pages 1977-1979; with chemical abstract thereof.

EXAMINER

DATE CONSIDERED

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Sheet 3 of 3		
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: February 25, 2002		ATTY DOCKET NO. 2001_1748A
		SERIAL NO. 09/990,389
		APPLICANT Akira NISHIYAMA et al.
		FILING DATE November 23, 2001
		GROUP 1623
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CA	Katsumi, I., et al. "Studies on Styrene Derivatives. I. Synthesis and Antiinflammatory Activities of α -Benzylidene- γ -butyrolactone Derivatives", Chem. Pharm. Bull., vol. 34, no. 1 (1986), pages 121-129.
	CB	Shiba, T., et al. "Synthesis of Specifically Iodine-131- and Carbon-14-Labeled Thyroxine", J. Org. Chem., vol. 27 (1962), pages 1773-1778; with chemical abstract thereof.
	CC	Bharathi, K., et al. "Synthesis, Pharmacological Evaluation and QSAR Studies of 4,5-Dihydro-4-[(substituted Phenyl) Methylene]-5-oxo-2-Phenyl/methyl-1H-Imidazole-1-Acetic Acids", Indian Journal of Pharmaceutical Sciences, vol. 61, no. 3 (1999), pages 186-189.
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